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Complete and assembled genome sequence of an NDM-9- and CTX-M-15-producing *Klebsiella pneumoniae* ST147 wastewater isolate from Switzerland

Nüesch-Inderbinen, Magdalena ; Zurfluh, Katrin ; Stevens, Marc J A ; Stephan, Roger

Abstract: OBJECTIVES: Carbapenem-resistant *Klebsiella pneumoniae* have emerged worldwide and represent a major threat to human health. Here we report the genome sequence of *K. pneumoniae* 002SK2, an NDM-9- and CTX-M-15-producing strain isolated from wastewater in Switzerland and belonging to the international high-risk clone sequence type 147 (ST147). METHODS: Whole-genome sequencing of *K. pneumoniae* 002SK2 was performed using Pacific Biosciences (PacBio) single-molecule, real-time (SMRT) technology RS2 reads (C4/P6 chemistry). De novo assembly was performed using Canu assembler, and sequences were annotated using the NCBI Prokaryotic Genome Annotation Pipeline (PGAP). RESULTS: The genome of *K. pneumoniae* 002SK2 consists of a 5.4-Mbp chromosome containing blaSHV-11 and fosA6, a 159-kb IncFIB(K) plasmid carrying the heavy metal resistance genes ars and sil, and a 77-kb IncR plasmid containing blaCTX-M-15, blaNDM-9, blaOXA-9 and blaTEM-1. CONCLUSIONS: Multidrug-resistant *K. pneumoniae* harbouring blaNDM-9 and blaCTX-M-15 are spreading into the environment, most probably via wastewater from clinical settings.

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**Complete and assembled genome sequence of an NDM-9 and CTX-M-15 producing
Klebsiella pneumoniae sequence type 147 wastewater isolate from Switzerland**

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Abstract

Objectives: Carbapenem-resistant *Klebsiella pneumoniae* (CRKP) have emerged worldwide and represent a major threat to human health. Here, we report the genome sequence of *K. pneumoniae* 002SK2, an NDM-9 and CTX-M-15 producing strain isolated from wastewater in Switzerland and belonging to the international high-risk clone ST147.

Methods: Whole genome sequencing of *K. pneumoniae* 002SK2 was performed using Pacific Biosciences (PacBio) single-molecule real-time (SMRT) technology RS2 reads (C4/P6 chemistry). *De novo* assembly was performed using canu assembler, and sequences were annotated using the NCBI Prokaryotic Genome Annotation Pipeline.

Results: The genome of *K. pneumoniae* 002SK2 consists of a 5.4-Mbp chromosome containing *bla_{SHV-11}* and *fosA6*, an IncFIB(K) 159-kb plasmid carrying heavy metal resistance genes *ars* and *sil*, and an IncR 77-kb plasmid containing *bla_{CTX-M-15}*, *bla_{NDM-9}*, *bla_{OXA-9}* and *bla_{TEM-1}*.

Conclusions: Multidrug resistant *K. pneumoniae* harbouring *bla_{NDM-9}* and *bla_{CTX-M-15}* are spreading into the environment, most probably via wastewater from clinical settings.

Keywords

K. pneumoniae ST147, *bla_{NDM-9}*, *bla_{CTX-M-15}*, genome analysis

41 *Klebsiella pneumoniae* is not only ubiquitous in the environment and a common intestinal
42 commensal, but also an important human pathogen, causing both nosocomial and community
43 acquired infections. *K. pneumoniae* sequence type (ST)147 has emerged as a major
44 international high-risk nosocomial clone and has recently been associated with VIM-1,
45 NDM-1 and KPC-2 carbapenemases in many countries [1]. The carbapenemase NDM-9
46 differs from NDM-1 by a single amino acid substitution (E152K) and was first reported in the
47 clinical *K. pneumoniae* ST107 isolate PPH1303 from China in 2013, followed by its
48 detection in the *mcr-1* harbouring *Escherichia coli* strain THJS02 and in three environmental
49 *K. variicola* isolates GJ1, GJ2, and GJ3 in South Korea [2].

50 *K. pneumoniae* isolate 002SK2 was isolated from wastewater in Basel, Switzerland in
51 December 2015 [3]. DNA extraction was performed with the Wizard® Genomic
52 DNAPurification Kit according to the manufacturers protocol (Promega AG, Dübendorf,
53 Switzerland). The genome was sequenced at the Functional Genomics Center Zurich
54 (FGCZ), Switzerland, using two single-molecule real-time (SMRT) cells on a PacBio RS II
55 (Pacific Biosciences, Menlo Park, CA, USA). The raw reads were filtered using the RS Filter
56 Only protocol in the SMRT-portal (Pacific Biosciences) with 0.85 as polymerase read quality
57 cut off and a minimal length of 500 bp. A total of 120,283 reads with an average length of
58 12,411 bp were selected, corresponding to 1,492,829,662 sequenced basepairs and a genome
59 coverage of approximately 280 fold. The reads were assembled using the Canu assembler [4]
60 with the option "-pacbio-raw" and an estimated genome size of 5.4 Mbp. The Canu output
61 consisted of 4 contigs which were further polished in CLC workbench 7 (CLC, Aarhus,
62 Denmark). The chromosomal origin of replication was identified using DoriC 5.0 and
63 plasmid origins of replications were determined by PlasmidFinder 1.3. The genome was
64 annotated by the NCBI Prokaryotic Genomes Automatic Annotation Pipeline (GPAP) server.

65 The genome of *K. pneumoniae* 002SK2 has a G+C content of 57.4% and consists of a
66 5,393,461-bp chromosome with the origin of replication situated upstream of *gidA*, a
67 common feature in *Klebsiella* species. The start of the sequence was set at 9 bp upstream of
68 the first DnaA box in the origin region. Two extra-chromosomal elements of 159,714 and
69 77,809 bp, designated p002SK2-A and p002SK2-B, respectively, were identified. The PGAP
70 server predicted a total of 5356 protein-coding sequences.

71 The chromosome of *K. pneumoniae* 002SK2 contains the β -lactam resistance gene *bla*_{SHV-11}
72 and the fosfomycin resistance gene *fosA6*.

73 The 159,714-bp plasmid p002SK2-A (IncFIB(K)) carries no acquired antibiotic resistance
74 genes but contains an *ars* operon encoding for arsenic resistance, *cop* genes encoding copper
75 resistance, and *sil* system components mediating silver resistance. Plasmid 77,809-bp
76 p002SK2-B (IncR) carries genes encoding resistance to aminoglycosides (*aacA4*, *aph(3')*-
77 *VI*), β -lactams (*bla*_{TEM-1}, *bla*_{OXA-9}, *bla*_{CTX-M-15}, and *bla*_{NDM-9}), bleomycin (*ble*),
78 fluoroquinolones (*qnrS*), and rifampin (*arr-2*), and the mercury resistance (*merc*) operon. The
79 plasmid p002SK2-B does not harbour *tra* genes for conjugal transfer, but contains a
80 toxin/antitoxin (A/T) *VapBC* operon.

81 The *bla*_{NDM-9} gene is located in a region of ~ 7.5 kb bracketed by a 172 bp truncated insertion
82 sequence (IS)*Aba14* (100% identity to GenBank accession number CP001921) and IS26
83 (Figure 1). The *bla*_{NDM-9} gene is located downstream of IS*Aba125*, representing a genetic
84 arrangement highly conserved among NDM-producing Gram-negative species. ISSpu_2
85 (GenBank accession number NC_009438) transposed into the IS*Aba125* element at bp
86 position 877 of the IS*Aba125* transposase gene (Figure 1). An antimicrobial resistance gene
87 conferring resistance to aminoglycosides, *aph(3')*-*VI* is located upstream of IS*Aba125* and
88 downstream of the truncated IS*Aba14*. Except for the ISSpu_2 transposase region, the
89 upstream and downstream environment of the *bla*_{NDM-9} gene shares high similarity with that

of the *bla*_{NDM-1} gene described in *K. pneumoniae* KP617 isolated in South Korea (GenBank accession number CP012754.1). It therefore appears that the mobilization events that were at the origin of the acquisition of the *bla*_{NDM-9} gene in *K. pneumoniae* 002SK2 are different from those of the *bla*_{NDM-9} genes in the nucleotide databases (GenBank accession numbers GJ1, CP017281-84; GJ2, CP017849-53; and GJ3, CP017285-89, respectively) [2].

Isolates like *K. pneumoniae* ST147 002SK2 highlight the dissemination of pathogenic MDR, NDM-9 producing strains into the environment. Careful monitoring of bacteria carrying carbapenemase genes in the environmental and clinical settings is warranted.

Sequence and annotation data of the *K. pneumoniae* 002SK2 genome have been deposited at GenBank under accession numbers CP025515 (chromosome), CP025516 (p002SK2-A), and CP025517 (p002SK2-B). This is the first version of this genome.

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Competing interests

None declared.

Ethical approval

Not required.

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127 **Figure legend**

128 Linear map of the *bla*_{NDM-9} encoding region of p002SK2-B. Antimicrobial resistance genes
129 are coloured in red, insertion sequences (IS) are shown in dark or light blue, other genes are
130 shown in burgundy.

131 *aph(3')-VI*, gene coding for aminoglycoside 3'-phosphotransferase; *bla*_{NDM-9}, gene coding for
132 the New Delhi metallo-β-lactamase; *ble*, bleomycin resistance gene, *cutA*, gene coding for
133 divalent-cation tolerance protein CutA; *sdbC*, gene coding for α-N-acetylgalactosaminidase;
134 *trpf*, gene coding for N-(5'-phosphoribosyl)anthranilate isomerase.

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